

HCKK VENTURES LIMITED

POLICY ON PRESERVATION OF DOCUMENTS

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➤ **INTRODUCTION:**

In terms of Regulation 9 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), the Board of Directors (the "Board") of HCKK Ventures Limited has adopted this Policy for Preservation of Documents, as required under applicable regulations.

➤ **SCOPE:**

This policy shall govern the maintenance and preservation of documents as per Regulation 9 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

➤ **OBJECTIVE OF THE POLICY:**

The main objective of this policy is to ensure that all the statutory documents are preserved in compliance with the Regulations and as per Policy framed in compliance with the Regulations and to ensure that the records no longer needed or which are of no value are discarded after following due process for the same.

The major objectives of the policy are:

- (i) To identify statutory records to be preserved.
- (ii) To identify records to be maintained either for a period of eight years or permanently.
- (iii) To decide the mode of preserving the documents, whether in physical form or in electronic form.
- (iv) To decide the procedure to destroy the documents after eight years or other applicable period.
- (v) To help employees understanding their obligations in retaining and preserving the documents and records.

➤ **DEFINITION:**

"**Act**" means the Companies Act, 2013, Rules framed thereunder and any amendments thereto.

"**Board of Directors**" or "**Board**" means the collective body of the Directors of the HCKK Ventures Limited.

"**Company**" means HCKK Ventures Limited.

"**Document**" includes papers, notes, agreements, advertisements, summons, notice, requisition, order, declaration form and register, whether issued, sent or kept in pursuance of the Act or under any other law for the time being in force or otherwise, maintained on paper or in electronic form.

"**Electronic Form**" with reference to information means any information generated, sent, received or stored in media, magnetic, optical, computer memory, micro film, computer generated micro fiche or similar device.

"**Maintenance**" means keeping documents, either physically or in electronic form.

"**Preservation**" means to keep the documents preventing them from being altered,
Damaged or destroyed.

"**Financial Year**" shall have the same meaning as prescribed under the Companies Act.

➤ **PRESERVATION OF DOCUMENTS:**

The company shall preserve all its documents as per the requirements and provisions of the Companies Act, 2013 and the rules made thereunder, the Secretarial Standards, the listing regulations and any other law, rules, regulations as applicable to the company from time to time.

Regulation 9 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, provides that the listed entity shall have a policy for preservation of documents, approved by its Board of Directors, classifying them in at least two categories as follows –

- (i) Documents whose preservation shall be permanent in nature;
- (ii) Documents with preservation period of not less than eight years after completion of the relevant transactions.

The documents shall be preserved in the manner as stated below:

Type of documents	Period of preservation
Document evidencing registration/incorporation of the Company/change of name etc. and Constitution documents such as Memorandum and Articles of Association.	Permanently
Statutory Registers, Minutes book (Board meetings or committee meetings, General meetings)	Permanently
Books of Accounts and Annual Return prepared under the Companies Act, 1956 or Companies Act, 2013.	For Eight financial year preceding current Financial year
Notices and Agenda of the Board Meeting/Annual General Meeting/Extra Ordinary General Meeting and Attendance Registers of Board/committee meetings, declarations from Directors etc.	For eight financial year
Court Orders relating to amalgamations / mergers / de-mergers etc. and approval of Central/State	Permanently
Various filings made with Registrar of Companies, stock exchange, Reserve Bank of India or any other statutory authority(ies)	For eight years after The filing of the same with the respective authority(ies)
Various policies adopted by the Board of Directors.	Permanently
Contracts entered into by the Company from time to time.	For eight years after the expiry of the term of the contract.
Documents relating to legal cases and litigation relating to the Company.	For eight years after completion of legal proceedings.
Taxation Documents including documents concerning tax assessment, tax filings, tax returns, proof of deductions, Challans, appeals preferred against any claim made by the relevant tax	For eight years or till completion of assessment whichever is later.
Documents relating to any property owned by the Company.	Permanently
Licenses obtained by the Company.	Eight years from the date of expiry/ termination of the License.
Intellectual property Rights documents shall include, but not be limited to copyrights, trademarks, patents, and industrial designs, Intellectual property rights documents that are owned by the Company.	Permanently
Any other statutory documents not covered above.	For eight financial years.

➤ **MODES OF PRESERVATION:**

Records/ Documents may be preserved either physically or in electronic form.

➤ **DESTRUCTION OF RECORDS:**

After the expiry of the statutory retention period, the preserved documents may be destroyed. Destruction of documents as a normal administrative practice shall be followed for the records which are duplicate/ irrelevant.

This applies to both physical and electronic documents. The documents may be destroyed as follows:

- a. Recycle non confidential paper records;
- b. Shred or otherwise render unreadable confidential paper records;
- c. Delete or destroy electronically stored data.

➤ **REVIEW OF POLICY:**

The Policy shall be reviewed on a periodic basis and the Chairman/ Managing Director and Compliance Officer are authorized jointly to make such changes as may be deemed necessary or as warranted by law.
